

### 4.5 $\Lambda(p)$ constants, $B_h[g]$ sets, and dissociated sets

In Section 4.3 we discussed one Fourier-analytic characteristic of an additive set  $A$  in a finite additive group  $Z$ , namely its linear bias. In this section we discuss a rather different characteristic, namely the  $\Lambda(p)$  constants of a set  $S$  of frequencies. These constants measure how “dissociated” or “Sidon-like” a set<sup>1</sup>  $S$  is; in more practical terms, the  $\Lambda(p)$  constants quantify the independence of the characters associated to  $S$  in a certain  $L^p(Z)$  sense. These constants can be used to obtain precise control on the arithmetic structure of  $S$ , for instance in controlling iterated sum sets of  $S$ . One feature of these constants is that they are stable under passage to subsets, thus  $\Lambda(p)$  constants will also control iterated sum sets of subsets  $S'$  of  $S$ . This stability (which is not present in the Fourier bias, unless one takes random subsets as in Lemma 4.16) is useful for a number of applications.

We begin with the formal definition of the  $\Lambda(p)$  constants.

**Definition 4.26** ( $\Lambda(p)$  constants) Let  $S$  be an additive set in a finite<sup>2</sup> additive group  $Z$ , and let  $2 \leq p \leq \infty$ . We define the  $\Lambda(p)$  constant of  $S$ , denoted  $\|S\|_{\Lambda(p)}$ , to be the best constant such that the inequality

$$\left\| \sum_{\xi \in S} c(\xi) e(\xi \cdot x) \right\|_{L^p(Z)} \leq \|S\|_{\Lambda(p)} \|c\|_{l^2(S)} \quad (4.30)$$

holds for all sequences  $c : S \rightarrow \mathbf{C}$  of complex numbers.

One can easily establish the bound

$$\|S\|_{\Lambda(p)} \leq |S|^{1/2-1/p}, \quad (4.31)$$

for  $2 \leq p \leq \infty$ , with equality at the endpoints  $p = 2, \infty$ ; see Exercise 4.5.6. This exercise indicates that largeness of  $\Lambda(p)$  constants is correlated to strong additive structure of  $S$ . At the other extreme, we now show that smallness of  $\Lambda(p)$  constants is correlated to strong lack of additive structure of  $S$ .

**Definition 4.27** ( $B_h$  sets) Let  $h \geq 2$ . A non-empty subset  $S$  of an additive group  $Z$  is a  $B_h$  set if for any  $\xi_1, \dots, \xi_h, \eta_1, \dots, \eta_h \in S$ , one has  $\xi_1 + \dots + \xi_h = \eta_1 + \dots + \eta_h$  if and only if  $(\xi_1, \dots, \xi_h)$  is a permutation of  $(\eta_1, \dots, \eta_h)$ . We say  $S$  is a Sidon set if it is a  $B_2$  set.

These sets are the  $g = 1$  version of the  $B_h[g]$  sets, encountered in Section 1.7.1; Sidon sets were also briefly mentioned in Section 2.2. Note that we do not bother with the notion of a  $B_1$  set, since every set is trivially a  $B_1$  set.

<sup>1</sup> Here, we use “Sidon set” to denote a set whose pairwise sums are all disjoint. There is another, more Fourier-analytic, notion of a Sidon set related to  $\Lambda(p)$  constants which we will not discuss here.

<sup>2</sup> One can also define the concept of a  $\Lambda(p)$  constant for subsets of the integers, or more general additive groups, but we will not need to do so in this book.

**Example 4.28** For any  $M > 1$ , the set  $S := \{0\} \cup (M^{\wedge}\mathbf{N}) = \{0, 1, M, M^2, \dots\}$  is a  $B_h$  set in  $\mathbf{Z}$  if and only if  $h < M$ . In particular, the powers of 2 form a Sidon set. One can of course truncate these examples to finite additive groups such as  $\mathbf{Z}_N$ ; note that any non-empty subset of a  $B_h$  set is also a  $B_h$  set.

**Proposition 4.29** *Let  $S$  be a non-empty subset of a finite additive group  $Z$ . Then we have*

$$\|S\|_{\Lambda(4)} \geq \left(2 - \frac{1}{|S|}\right)^{1/4}, \tag{4.32}$$

with equality holding if and only if  $S$  is a Sidon set. More generally, if  $h \geq 1$ , then there exists a number  $1 \leq \alpha(h, |S|) < (h!)^{1/2h}$  depending on  $h$  and  $|S|$  such that  $\|S\|_{\Lambda(2h)} = \alpha(h, |S|)$  when  $S$  is a  $B_h$  set, and  $\|S\|_{\Lambda(2h)} > \alpha(h, |S|)$  otherwise.

*Proof* We first prove (4.32). By testing (4.31) with  $c_\xi$  identically equal to 1, it will suffice to show that

$$\left\| \sum_{\xi \in S} e(x, \xi) \right\|_{L^4(Z)}^4 \geq \left(2 - \frac{1}{|S|}\right) |S|^2.$$

The left-hand side can be expanded as

$$\sum_{\xi_1, \xi_2, \eta_1, \eta_2 \in S} \mathbf{E}_{x \in Z} e((\xi_1 + \xi_2 - \eta_1 - \eta_2) \cdot x).$$

By Lemma 4.5 this simplifies to

$$|\{\xi_1, \xi_2, \eta_1, \eta_2 \in S : \xi_1 + \xi_2 = \eta_1 + \eta_2\}|.$$

Clearly  $\xi_1 + \xi_2$  will equal  $\eta_1 + \eta_2$  when  $(\xi_1, \xi_2)$  is a permutation of  $(\eta_1, \eta_2)$ , so this expression is at least as large as

$$\sum_{\xi_1, \xi_2, \eta_1, \eta_2 \in S : \{\xi_1, \xi_2\} = \{\eta_1, \eta_2\}} 1 = |S|(|S| - 1)2 + |S| = \left(2 - \frac{1}{|S|}\right) |S|^2$$

as claimed. Note that this argument also shows that the inequality in (4.32) is strict if  $S$  is not a Sidon set, since then we have additional terms coming from pairs  $(\xi_1, \xi_2)$  and  $(\eta_1, \eta_2)$  which are not permutations of each other.

Now suppose that  $S$  is a Sidon set. To prove equality in (4.32) it suffices to show that

$$\left\| \sum_{\xi \in S} c_\xi e(x, \xi) \right\|_{L^4(Z)}^4 \leq 2 - \frac{1}{|S|}$$

assuming the normalization  $\sum_{\xi \in S} |c_\xi|^2 = 1$ . The left-hand side can be expanded as

$$\sum_{\xi_1, \xi_2, \eta_1, \eta_2 \in S} c_{\xi_1} c_{\xi_2} \overline{c_{\eta_1} c_{\eta_2}} \mathbf{E}_{x \in Z} e((\xi_1 + \xi_2 - \eta_1 - \eta_2) \cdot x)$$

which as before simplifies to

$$\sum_{\xi_1, \xi_2, \eta_1, \eta_2 \in S: \xi_1 + \xi_2 = \eta_1 + \eta_2} c_{\xi_1} c_{\xi_2} \overline{c_{\eta_1} c_{\eta_2}}.$$

Since  $S$  is a Sidon set,  $(\eta_1, \eta_2)$  must be a permutation of  $(\xi_1, \xi_2)$ . Splitting into the cases  $\xi_1 = \xi_2$  and  $\xi_1 \neq \xi_2$ , we can thus rewrite the previous expression as

$$\sum_{\xi \in S} \|c_\xi\|_H^4 + 2 \sum_{\xi_1, \xi_2 \in S: \xi_1 \neq \xi_2} |c_{\xi_1}|^2 |c_{\xi_2}|^2$$

which by the normalization  $\sum_{\xi \in S} |c_\xi|^2 = 1$  can be written as

$$2 - \sum_{\xi \in S} |c_\xi|^4.$$

But from Cauchy–Schwarz and the normalization  $\sum_{\xi \in S} |c_\xi|^2 = 1$  we have  $\sum_{\xi \in S} |c_\xi|^4 \geq 1/|S|$ , and the claim follows.

The general case  $h \geq 2$  is similar but is left to Exercise 4.5.9. □

Another quantification of the heuristic that large  $\Lambda(p)$  constants corresponds to strong additive structure is given by

**Lemma 4.30** *Let  $S$  be a non-empty subset of a finite additive group  $Z$ , and let  $h \geq 1$ . Then we have*

$$|h_1 S - h_2 S| \geq \frac{|S|^h}{\|S\|_{\Lambda(2h)}^{2h}}$$

whenever  $h_1, h_2 \geq 0$  are such that  $h_1 + h_2 = h$ . In particular we have

$$|hS| \geq \frac{|S|^h}{\|S\|_{\Lambda(2h)}^{2h}}.$$

**Remark 4.31** This lemma shows that if  $S$  has a small  $\Lambda(2h)$  constant, then not only do the sum sets  $hS$  become very large, but so do the sum sets  $hS'$  of all subsets  $S'$  of  $S$ , thanks to the monotonicity of  $\Lambda(p)$  constants. The converse statement is also true up to logarithmic factors; see exercises. Thus  $\Lambda(2h)$  constants measure the failure of  $S$ , or any of its subsets, to have good closure properties under  $h$ -fold sums.

*Proof* From (4.30) with  $p := 2h$ , and  $c_\xi$  set identically equal to 1, we have

$$\left\| \sum_{\xi \in S} e(\xi \cdot x) \right\|_{L^{2h}(Z)}^{2h} \leq \|S\|_{\Lambda(2h)}^{2h} |S|^h.$$

The left-hand side is equal to

$$\left\| \left( \sum_{\xi \in S} e(\xi \cdot x) \right)^{h_1} \left( \sum_{\xi \in -S} e(\xi \cdot x) \right)^{h_2} \right\|_{L^2(Z)}^2$$

since  $e(x, -\xi)$  is the conjugate of  $e(x, \xi)$ . We can expand

$$\left( \sum_{\xi \in S} e(\xi \cdot x) \right)^{h_1} \left( \sum_{\xi \in -S} e(x, \xi) \right)^{h_2} = \sum_{\xi \in S} r_{h_1, h_2}(\xi) e(\xi \cdot x)$$

where  $r_{h_1, h_2}$  is the counting function

$$\begin{aligned} r_{h_1, h_2}(\xi) &:= |\{(\xi_1, \dots, \xi_{h_1}, \xi'_1, \dots, \xi'_{h_2}) \in S^{h_1+h_2} : \xi \\ &= \xi_1 + \dots + \xi_{h_1} - \xi'_1 - \dots - \xi'_{h_2}\}|. \end{aligned}$$

By (4.2) we thus have

$$\sum_{\xi \in S} r_{h_1, h_2}(\xi)^2 \leq \|S\|_{\Lambda(2h)}^{2h} |S|^h.$$

On the other hand, the function  $r_{h_1, h_2}$  is supported in  $h_1 S - h_2 S$ , so by Cauchy–Schwarz

$$\sum_{\xi \in S} r_{h_1, h_2}(\xi) \leq |h_1 S - h_2 S|^{1/2} \|S\|_{\Lambda(2h)}^h |S|^{h/2}.$$

But from the definition of  $r_{h_1, h_2}$  we have

$$\sum_{\xi \in S} r_{h_1, h_2}(\xi) = |S^{h_1+h_2}| = |S|^{h_1+h_2}$$

The claim follows. □

We now investigate the  $\Lambda(p)$  constants of Sidon-like sets as  $p \rightarrow \infty$ .

**Definition 4.32** An additive set  $S$  with cardinality  $|S| = d$  is said to be *dissociated* if the cube  $[0, 1]^d \cdot S$  is proper, or in other words, the  $2^d$  subset sums

$$FS(S) := \left\{ \sum_{\xi \in S'} \xi : S' \subseteq S \right\}$$

are all distinct.

This should be compared with the concept of a Sidon set, which is a set  $S$  of cardinality  $d$  whose  $\frac{d(d+1)}{2}$  pairwise sums  $\{\xi_1 + \xi_2 : \xi_1, \xi_2 \in S\}$  are all distinct (except for the trivial identification  $\xi_1 + \xi_2 = \xi_2 + \xi_1$ ). A good example of a dissociated set is the set of powers of 2:  $S = \{1, 2, \dots, 2^n\}$  in any cyclic group  $\mathbf{Z}/N\mathbf{Z}$  with  $N \geq 2^{n+1}$ . Observe that if  $S$  is a dissociated set of cardinality  $d$ , and  $v$  is a non-zero element of  $[-1, 1]^d$ , then  $v \cdot S \neq 0$  (since otherwise we could find two disjoint sets  $S_1, S_2$  in  $S$ , corresponding to where the components of  $v$  are  $+1$  or  $-1$ , such that  $\sum_{\xi \in S_1} \xi = \sum_{\xi \in S_2} \xi$ ).

Dissociativity is the Fourier analog of joint independence. It leads to the following Fourier-analytic analog of Chernoff’s inequality:

**Lemma 4.33 (Rudin’s inequality)** *If  $S$  is dissociated, then we have*

$$\mathbf{E}_{x \in Z} \exp \left( \sigma \operatorname{Re} \sum_{\xi \in S} c(\xi) e(\xi \cdot x) \right) \leq e^{\sigma^2/2} \tag{4.33}$$

whenever  $\|c\|_{l^2(S)} \leq 1$  and  $\sigma \geq 0$ . We also have the distributional estimates

$$\mathbf{P}_{x \in Z} \left\{ \left| \sum_{\xi \in S} c(\xi) e(\xi \cdot x) \right| \geq \lambda \right\} = O_\varepsilon \left( e^{-\lambda^2/(4+\varepsilon)} \right) \tag{4.34}$$

for every  $\varepsilon > 0$ , and the  $\Lambda(p)$  estimate

$$\|S\|_{\Lambda(p)} = O(\sqrt{p}) \tag{4.35}$$

for all  $2 \leq p < \infty$ .

Note that when  $p = 2h$  then  $(h!)^{1/2h}$  is comparable to  $\sqrt{p}$  by Stirling’s formula (1.52), and hence so (4.35) and shows that dissociated sets are comparable in  $\Lambda(2h)$  constant to  $B_{2h}$  sets for any given  $h$  (if  $S$  is sufficiently large). This also shows that the bounds in the above lemma cannot be significantly improved except in the constants, even if one imposes even more additive independence conditions on  $S$ .

*Proof* Write  $c(\xi) = |c(\xi)|e(\theta_\xi)$  for some phase  $\theta_\xi \in \mathbf{R}/\mathbf{Z}$ . We begin by observing the inequality

$$e^{tx} \leq \cosh(x) + t \sinh(x)$$

for all  $x \geq 0$  and  $-1 \leq t \leq 1$ , which is simply a consequence of the convexity of  $e^{tx}$  as a function of  $t$ . In particular we see that

$$\exp(\sigma \operatorname{Re} c(\xi) e(x, \xi)) \leq \cosh(\sigma |c(\xi)|) + \sinh(\sigma |c(\xi)|) \operatorname{Re} e(\xi \cdot x + \theta_\xi),$$

which upon multiplying and taking expectations becomes

$$\begin{aligned} \mathbf{E}_{x \in Z} \exp \left( \sigma \sum_{\xi \in S} \operatorname{Re} c(\xi) e(x, \xi) \right) \\ \leq \mathbf{E}_{x \in Z} \prod_{\xi \in S} \left( (\cosh(\sigma |c(\xi)|) + \frac{1}{2} \sinh(\sigma |c(\xi)|) e(\xi \cdot x + \theta_\xi) \right. \\ \left. + \frac{1}{2} \sinh(\sigma |c(\xi)|) e(-\xi \cdot x - \theta_\xi) \right). \end{aligned}$$

Now we multiply the product out and inspect its behavior in  $x$ . We obtain a large number of terms ( $3^{|S|}$ , to be exact) that are of the form  $e((v \cdot S) \cdot \xi)$ , for some  $v \in [-1, 1]^{|S|}$ , times some constant independent of  $x$ , where we select some enumeration  $S = (\xi_1, \dots, \xi_{|S|})$  of  $S$ . There is one constant term, namely  $\prod_{\xi \in S} \cosh(\sigma |c(\xi)|)$ , but all the others have a non-zero frequency vector  $v \cdot S$  because  $S$  is dissociated, and thus integrate out to zero by the Fourier inversion formula. Thus we have

$$\mathbf{E}_{x \in Z} \exp \left( \sigma \sum_{\xi \in S} \operatorname{Re} c(\xi) e(\xi \cdot x) \right) \leq \prod_{\xi \in S} \cosh(\sigma |c(\xi)|),$$

and the claim (4.33) then follows from the elementary inequality  $\cosh(x) \leq e^{x^2/2}$  (which follows by comparing Taylor series). From Markov's inequality we thus obtain

$$\mathbf{P}_{x \in Z} \left( \operatorname{Re} \sum_{\xi \in S} c(\xi) e(\xi \cdot x) \geq \lambda \right) \leq e^{\sigma^2/\lambda} e^{-\sigma \lambda}$$

for every  $\lambda \geq 0$ ; choosing  $\sigma := \lambda/2$ , we obtain

$$\mathbf{P}_{x \in Z} \left( \operatorname{Re} \sum_{\xi \in S} c(\xi) e(\xi \cdot x) \geq \lambda \right) \leq e^{-\lambda^2/4}.$$

Replacing  $\lambda$  by  $(1 - \varepsilon)\lambda$  and rotating  $c(\xi)$  by an arbitrary angle  $e(i\theta)$ , we obtain

$$\mathbf{P}_{x \in Z} \left( \operatorname{Re} e(i\theta) \sum_{\xi \in S} c(\xi) e(\xi \cdot x) \geq (1 - \varepsilon)\lambda \right) \leq e^{-\lambda^2(1-\varepsilon^2)/4}.$$

If take the union of these estimates as  $e^{i\theta}$  varies over a finite number of angles (depending on  $\varepsilon$ ) we obtain (4.34).

To obtain (4.35), we observe from the identity

$$\left\| \sum_{\xi \in S} c(\xi) e(\xi \cdot x) \right\|_{L^p(Z)}^p \leq p \int_0^\infty \lambda^{p-1} \mathbf{P}_{x \in Z} \left( \left| \sum_{\xi \in S} c(\xi) e(\xi \cdot x) \right| \geq \lambda \right) d\lambda$$

and (4.34) (with  $\varepsilon = 1$ , say) that

$$\left\| \sum_{\xi \in S} c(\xi) e(\xi \cdot x) \right\|_{L^p(Z)}^p = O \left( p \int_0^\infty \lambda^{p-1} e^{-\lambda^2/5} d\lambda \right).$$

To estimate the integral, we observe from elementary calculus that the integrand  $\lambda^{p-1} e^{-\lambda^2/5}$  is bounded by  $O(p)^{p/2}$  for  $\lambda = O(\sqrt{p})$ , and then decays exponentially for  $\lambda \gg \sqrt{p}$ . From this we can easily bound the integrand by  $p^{O(1)} O(p)^{p/2}$ , and the claim follows (note that  $p^{1/p}$  is bounded by  $e$ ).  $\square$

In the next few sections we shall use Rudin's inequality to obtain structural control on various sets of frequencies.

### Exercises

- 4.5.1 Show that the  $\Lambda(p)$  constant of a set  $S$  does not depend on the choice of bilinear form used to define the Fourier transform, and is also invariant under translations or isomorphisms of the set  $S$ .
- 4.5.2 For any  $2 \leq p \leq \infty$  and any disjoint  $S_1, S_2$ , show the triangle inequality  $\|S\|_{\Lambda(p)} \leq \|S_1\|_{\Lambda(p)} + \|S_2\|_{\Lambda(p)}$  whenever  $S \subseteq S_1 \cup S_2$ .
- 4.5.3 Let  $\varepsilon$  be the uniform distribution on  $\{-1, 1\}$ , and let  $\varepsilon_1, \dots, \varepsilon_N$  be independent trials of  $\varepsilon$ . If  $c_1, \dots, c_N$  are arbitrary complex numbers and  $2 \leq p < \infty$ , prove *Bernstein's inequality* [25]

$$\begin{aligned} \left( \sum_{j=1}^N |c_j|^2 \right)^{1/2} &\leq \mathbf{E} \left( \left| \sum_{j=1}^N \varepsilon_j c_j \right|^p \right)^{1/p} \\ &\leq O \left( \sqrt{p} \left( \sum_{j=1}^N |c_j|^2 \right)^{1/2} \right). \end{aligned}$$

(Hint: for the lower bound, compute the  $p = 2$  moment. For the upper bound, modify the proof of Lemma 4.33; alternatively, apply Lemma 4.33 to the group  $Z = \mathbf{Z}_2^N$ , where  $S$  is the standard basis for  $\mathbf{Z}_2^N$ .) Conclude that if  $f_1, \dots, f_N$  are any complex-valued functions on  $Z$ , then we have *Khintchine's inequality*

$$\begin{aligned} \left\| \left( \sum_{j=1}^N |f_j|^2 \right)^{1/2} \right\|_{L^p(Z)} &\leq \mathbf{E} \left( \left\| \sum_{j=1}^N \varepsilon_j f_j \right\|_{L^p(Z)}^p \right)^{1/p} \\ &\leq O \left( \sqrt{p} \left\| \left( \sum_{j=1}^N |f_j|^2 \right)^{1/2} \right\|_{L^p(Z)} \right). \end{aligned}$$

- 4.5.4 Let  $f : Z_1 \times Z_2 \rightarrow \mathbf{C}$  be a function on two variables in two non-empty finite sets  $Z_1, Z_2$ , and let  $2 \leq p < \infty$ . Establish the Minkowski inequality

$$\left(\mathbf{E}_{y \in Z_2} \left(\mathbf{E}_{x \in Z_1} |f(x, y)|^2\right)^{p/2}\right)^{1/p} \leq \left(\mathbf{E}_{x \in Z_1} \left(\mathbf{E}_{y \in Z_2} |f(x, y)|^2\right)^{p/2}\right)^{1/2} \quad (4.36)$$

(Hint: use the triangle inequality for the  $L^{p/2}$  norm.) Conclude that  $\|S\|_{\Lambda(p)}$  is the best constant such that

$$\left\| \sum_{\xi \in S} c(\xi) e(x, \xi) \right\|_H \left\| \right\|_{L^p(Z)} \leq \|S\|_{\Lambda(p)} \left( \sum_{\xi \in S} \|c(\xi)\|_H^2 \right)^{1/2}$$

for all finite-dimensional Hilbert spaces  $H$  and all sequences  $(c(\xi))_{\xi \in S}$  taking values in  $H$ . Using this, conclude that  $\|S_1 \times S_2\|_{\Lambda(p)} = \|S_1\|_{\Lambda(p)} \|S_2\|_{\Lambda(p)}$  whenever  $S_1, S_2$  are additive sets in finite additive groups  $Z_1, Z_2$  and  $2 \leq p \leq \infty$ .

- 4.5.5 [33], [20] Let  $n \geq 1$  be an integer, let  $Z := \mathbf{Z}_2^n$ . For  $\xi = (\xi_1, \dots, \xi_n) \in \mathbf{Z}_2^n$ , let  $|\xi|$  denote the number of coefficients  $\xi_1, \dots, \xi_n$  which are equal to one. Establish the *Bonami–Beckner inequality*

$$\left\| \sum_{\xi \in Z} \varepsilon^{|\xi|} c(\xi) \right\|_{L^{1+\varepsilon^2}(Z)} \leq \|c\|_{l^2(Z)}$$

for all  $0 < \varepsilon < 1$  and all  $c \in l^2(Z)$ . (Hint: first establish this by hand for  $n = 1$ , and then exploit (4.36) to obtain the general case.) Conclude in particular that if  $S_k := \{\xi \in \mathbf{Z}_2^n : |\xi| = k\}$ , then  $\|S_k\|_{\Lambda(p)} \leq (p-1)^{k/2}$  for all  $2 < p < \infty$ .

- 4.5.6 Let  $2 \leq p \leq \infty$ , and let  $S$  be a non-empty subset of  $Z$ . Prove (4.31). (Hint: use the Hausdorff–Young inequality.) If  $2 < p < \infty$ , show that equality occurs if and only if  $S$  is a translate of a subgroup of  $Z$ . (You may need Exercise 4.2.9.)
- 4.5.7 Let  $S$  be an additive set in a finite additive group. Show that

$$\|S\|_{\Lambda(p)} \geq \min(1, |Z|^{-1/p} |S|^{1/2})$$

for all  $2 \leq p < \infty$ . It turns out that these bounds are essentially sharp for randomly chosen sets  $S$  in  $Z$  of a fixed cardinality: see [35].

- 4.5.8 Let  $S$  be a  $B_h$  set in a finite additive group  $Z$ . Show that  $|S| \leq |Z|^{1/h}$ .
- 4.5.9 Complete the proof of Proposition 4.29.
- 4.5.10 Let  $S$  be an additive subset of  $Z$ . Show that  $E(S, S) \leq \|S\|_{\Lambda(4)}^4 |S|^2$ ; thus the additive energy of an additive set is controlled by its  $\Lambda(4)$  constant.

4.5.11 Let  $S$  be an additive set, and let  $h \geq 1$ . Suppose that  $A > 0$  is a constant such that

$$|hS'| \geq \frac{|S'|^h}{A^{2h}}$$

for all non-empty subsets  $S'$  of  $S$ . Show that

$$\|S\|_{\Lambda(2h)} = O(A(1 + \log |S|));$$

thus Lemma 4.30 can be reversed after conceding a factor of a logarithm. (Hint: first verify the estimate (4.30) when  $c$  is a characteristic function by reversing the proof of Lemma 4.30. For general  $c$ , decompose  $c$  into at most  $O(1 + \log |S|)$  functions which are comparable to constant multiples of characteristic functions, by partitioning the range of  $c$  using powers of 2, and discarding those values of  $c$  smaller than (say)  $|S|^{-100} \|c\|_{\ell^2}$ .)

4.5.12 [251] Show that  $\|S\|_{\Lambda(p)}$  is the best constant such that

$$\|\hat{f}\|_{\ell^2(S)} \leq \|S\|_{\Lambda(p)} \|f\|_{L^{p'}(Z)}$$

for all random variables  $f$ , where  $p'$  is the dual exponent to  $p$ , thus  $1/p + 1/p' = 1$ . Next, write

$$\|\hat{f}\|_{\ell^2(S)}^2 = \frac{|S|}{|Z|} \|f\|_{L^2(Z)}^2 + \mathbf{E}_{x,y \in Z} f(x) \overline{f(y)} \mathbf{I}(x \neq y) \sum_{\xi \in S} e(\xi \cdot (x - y))$$

and observe the inequalities

$$\left| \mathbf{E}_{x,y \in Z} f(x) g(y) \mathbf{I}(x \neq y) \sum_{\xi \in S} e(\xi \cdot (x - y)) \right| \leq \|f\|_{L^2(Z)} \|g\|_{L^2(Z)}$$

and

$$\left| \mathbf{E}_{x,y \in Z} f(x) g(y) \mathbf{I}(x \neq y) \sum_{\xi \in S} e(\xi \cdot (x - y)) \right| \leq |Z| \|S\|_u \|f\|_{L^1(Z)} \|g\|_{L^1(Z)}.$$

Using Riesz–Thorin interpolation (or arguing as in Exercise 4.2.3) conclude that

$$\begin{aligned} & \left| \mathbf{E}_{x,y \in Z} f(x) g(y) \mathbf{I}(x \neq y) \sum_{\xi \in S} e(\xi \cdot (x - y)) \right| \\ & \leq (|Z| \|S\|_u)^{1-2/p} \|f\|_{L^{p'}(Z)} \|g\|_{L^{p'}(Z)}. \end{aligned}$$

From this, conclude the *Tomas–Stein inequality*

$$\|S\|_{\Lambda(p)}^2 \leq |S| |Z|^{-\frac{2}{p}} + (\|S\|_u |Z|)^{1-\frac{2}{p}}$$

(compare with (4.31)). Thus, Fourier-uniform sets tend to have fairly small  $\Lambda(p)$  constants. See also Lemma 10.22.